



September 24, 2021

MEMORANDUM FOR: Jeremy Pelter
Acting Under Secretary for Industry and Security
Bureau of Industry and Security

FROM: Richard Bachman
Assistant Inspector General for Audit and Evaluation

SUBJECT: Review of the Bureau of Industry and Security's Law Enforcement
Function (#2021-411)

We are initiating a review of the Bureau of Industry and Security's (BIS') law enforcement function. Our objectives are to determine (1) the mission, legal basis, and authority for such functions and whether relevant statutes and guidelines are satisfied, (2) whether BIS' law enforcement function developed plans and policies for oversight of their jurisdictions and offices and the extent to which oversight was provided, (3) whether training for BIS' law enforcement officers is adequate and up-to-date, and (4) the extent to which the U.S. Department of Commerce provides oversight to ensure that BIS' law enforcement powers are properly exercised within jurisdictional limits.

We plan to begin this work immediately. The entrance conference was held on September 23, 2021, where we discussed our review objectives and scope in further detail. If you have any questions about this review, please contact me at (202) 482-2877 or Patricia McBarnette, Audit Director, at (202) 482-3391.

We thank you in advance for your cooperation during this effort.

cc: Kristina Potts, Audit Liaison, BIS
Jennifer Kuo, Alternate Audit Liaison, BIS
MaryAnn Mausser, Audit Liaison, Office of the Secretary