




March 7, 2017

MEMORANDUM FOR: Drew Hirshfeld
Commissioner for Patents
U.S. Patent and Trademark Office

FROM: 
Richard Bachman
Assistant Inspector General for Financial and
Intellectual Property Audits

SUBJECT: Audit of USPTO's Monetary Awards for Patent Examiners

We are initiating an audit of the United States Patent and Trademark Office's (USPTO's) process of awarding monetary awards to patent examiners. Our audit objective is to determine whether USPTO granted monetary awards in compliance with the relevant award criteria and were sufficiently documented. We will conduct our fieldwork at the USPTO headquarters in Alexandria, Virginia.

We will contact your audit liaison to schedule an entrance conference, at which time we will discuss this audit in further detail. If you have any questions, please call me at (202) 482-2877, or Amni Samson, Director, at (571) 272-5561.

Thank you in advance for your cooperation.

cc: Anthony Scardino, Chief Financial Officer, USPTO
Welton Lloyd, Audit Liaison, Office of Planning and Budget, USPTO
Robert Fawcett, Audit Liaison, Office of Planning and Budget, USPTO