



February 8, 2018

MEMORANDUM FOR: Joseph Matal
Performing the Functions and Duties of the Under Secretary of
Commerce for Intellectual Property and Director of the United
States Patent and Trademark Office

FROM: Frederick J. Meny, Jr.
Principal Assistant Inspector General for Audit and Evaluation
(Acting)

SUBJECT: Audit of USPTO's Active Directory

As required by the Federal Information Security Modernization Act of 2014, we are initiating an audit on USPTO's Active Directory (AD). Our audit objective is to determine whether USPTO has adequately managed its AD to protect mission critical systems and data.

We plan to begin this work immediately. We will contact your audit liaison to schedule an entrance conference, at which time we can further discuss our objective. If you have any questions, please call me at (202) 482-1931 or Dr. Ping Sun, Director for IT Security, at (202) 482-6121.

cc: Rod Turk, Acting Chief Information Officer
David Chiles, Acting Chief Information Officer, USPTO
Welton E. Lloyd Jr., Audit Liaison, USPTO
Maria Dumas, Audit Liaison, Office of the Chief Information Officer