



March 23, 2017

**MEMORANDUM FOR:** Michelle K. Lee  
Under Secretary of Commerce for Intellectual Property and  
Director of the U.S. Patent and Trademark Office

**FROM:** Richard Bachman  
Assistant Inspector General for Financial  
and Intellectual Property Audits

**SUBJECT:** Follow-up Audit on Recommendations from Audit Report No.  
OIG-13-032-A, *USPTO Successfully Implemented Most Provisions of  
the America Invents Act, but Several Challenges Remain*

We are initiating a follow-up audit on recommendations made in our September 2013 report, *USPTO Successfully Implemented Most Provisions of the America Invents Act, but Several Challenges Remain*. Our audit objective is to determine whether USPTO has taken appropriate corrective actions on recommendations made in the report. We will conduct our fieldwork at the USPTO headquarters in Alexandria, Virginia.

We will contact your audit liaison to schedule an entrance conference, when we will discuss this audit in further detail. If you have any questions, please call me at (202) 482-2877 or Amni Samson, Director, at (571) 272-5561.

Thank you in advance for your cooperation.

cc: Drew Hirshfeld, Commissioner for Patents, USPTO  
Anthony Scardino, Chief Financial Officer, USPTO  
Welton Lloyd, Audit Liaison, Office of Planning and Budget, USPTO  
Robert Fawcett, Audit Liaison, Office of Planning and Budget, USPTO